Electronic Version v18 Stylesheet Version v18.0

Title of Invention

Apparatus and method for use in fulfilling illumination prescription

**Application Number:** 

10/726130

Confirmation Number:

3550

First Named Applicant:

Yupin Sun

Attorney Docket Number: 3084.017

Art Unit:

2873

Search string:

( 6166866 or 6268963 or

## **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
M	1	6166866	2000-12-26	Kimura et al.	]		
8	2	6268963	2001-07-31	Akiyama	]		
33	3	6301064	2001-10-09	Araki et al.	]	-	

# Signature

Examiner Name	Date		
S.J.Svgarman	3-2005		

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Substitute for form 1449A/PTO					Application Number	10/726,130
	INIE	COMMETICAL DIS		SUDE	Filing Date	12/1/2003
	INFORMATION DISCLOSURE				First Named Inventor	Sun et al.
	STATEMENT BY APPLICANT			CANT	Art Unit	2873
		(use as many sheets as ne	cessary)		Examiner Name	unknown
She	et	1	of	2	Attorney Docket Number	3084.017

	U.S. PATENT DOCUMENTS						
Examiner Cite No.		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
		Number - Kind Code <sup>2 (F Incom)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
SM		US appl no. 10/269,479	10-11-2002	Benitez			
41		US appl no. 10/461,557	06-12-2003	Minano			
34		US appl no. 10/822,874	07-18-2003	Minano			
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		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	T		
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
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Examiner	S. J.Sugarman	Date	4-220C	
Signature	- 3-20 M	Considered	3 00	

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Translation is attached.

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3084.017

PTO/SB/08B (08-03)
Approved for use through 06/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Substitute for form 1449B/PTO **Application Number** 10/726,130 12/1/2003 Filing Date INFORMATION DISCLOSURE First Named Inventor Sun et al. STATEMENT BY APPLICANT Art Unit 2873 **Examiner Name** unknown (use as many sheets as necessary)

**Attorney Docket Number** 

2

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Sheet

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite <sub>i</sub> No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
18		Remillard, Everson and Weber, "Loss Mechanisms Optical Light Pipes" Applied Optics Vol 31 #34 pp. 7232-7241 December 1992.	
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861		Spigulis, "Compact dielectric reflective elements, Half-sphere concentrators of radially emitted light" Applied Optics Vol. 33, No. 25, September 1994.	
			<u> </u>

		<del> </del>		
-	Examiner Signature	S.J. Sygaman	Date Considered	3-2005

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.

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## **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Stylesheet Version v18

Title of Invention

APPARATUS AND METHOD FOR USE IN FULFILLING ILLUMINATION PRESCRIPTION

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**Application Number:** 

10/726130

Confirmation Number:

3550

First Named Applicant:

Yupin Sun⊸

Attorney Docket Number: 3084.017

004.047

Art Unit:

2873

Search string:

( 1977689 or 2254961 or 4192994 or 4337759

or 4342908 or 4675725 or 4698730 or 4920404 or 5140220 or 5302778 or 5335157 or 5404282

or 5404869 or 5452190 or 5577492 or 5608290

or 5613769 or 5655832 or 5676453 or 5757557

or 5777433 or 5806955 or 5813743 or 5894195

or 5894196 or 5897201 or 5898267 or 5924788

or 5926320 or 5966250 or 6030099 or 6044196 or 6048083 or 6166860 or 6177761 or 6181476

or 6273596 or 6450661 or 6473554 or 6536923

or 6547423 or 6560038 or 6578989 or 6582103

or 6598998 or 6603243 or 6607286 or 6616287

or 6637924 or 6639733 ).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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TY)	3	4192994	1980-03-11	Kastner			
30	4	4337759	1982-07-06	Popovich, et al			
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Ti	20	5757557	1998-05-26	Medvedev
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	22	5806955	1998-09-15	Parkyn Jr. et al
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	49	6637924	2003-10-28	Pelka, et al	
Y)	50	6639733	2003-10-28	Minano, et al	

# Signature

Examiner Name	Date
1954 Stagemen	3-5002



# **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Électronic Version v18
Stylesheet Version v18.0

Title of Invention APPARATUS AND METHOD FOR USE IN FULFILLING ILLUMINATION PRESCRIPTION

- Other Car

**Application Number:** 

10/726130

Confirmation Number:

3550

First Named Applicant:

Yupin Sun

Attorney Docket Number: 3084.017

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Art Unit:

2873

Search string:

(6646813 or 6647199 or 6674096 or

20020080623 or 20030076034 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6646813	2003-11-11	Falicoff		-	
团	2	6647199	2003-11-11	Pelka, et al			
1207	3	6674096	2004-01-06	Sommers			

## **US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
4	1	20020080623	2002-06-27	Pashley			
M	2	20030076034	2003-04-24	Marshall			

## Signature

Examiner Name	Date
S. J. Sporman	3-2005

PTO/SB/08a (08-03)

Approved for use through 07/31/2008, OMB 0851-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE tk Reduction Act of 1995, no persons are required to respond to a collection of inf

<u>ک</u>	Unde/the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control					
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	300				Application Number	10/726,130
	11	NFORMATION DIS	<b>CLOS</b>	URE	Filing Date	12/1/2003
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		(Use as many sheets as n	ecessary)		Examiner Name	Sugarman
	Sheet	1	of	1	Attorney Docket Number	3084.017

	U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Document Number  Number - Kind Code <sup>2 (Flacourt)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
411		ussn 10/903,925	07-29-2004	Fallcoff				
<b>S</b>		ussn 10/901,919	07-28-2004	Benitez	717			
Q.L		ussn 10/851,471	05-21-2004	Benitez, et al				
70		ussn 10/880,386	06-28-2004	Benitez, et al				
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		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>6</sup> (if known)	MM-OD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁰
95		ES 2142752	12/01/2000	Universidad Politecnica de Madrid		
Y		<u></u>				
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1		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
90		Benítez, P. "Chapter 6: The SMS design method in three dimensions", from Conceptos avenzados de óptica anidólica: diseño y fabricación, PhD dissertation, UPM, (1998).	
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Examiner Signature	S. J. Sysman	Date Considered	7-1005

"EXAMINER: Initial if reference considered, whether or not citation is no conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English tanguage Translation is attacked to information is required by 37 CER 107 and 109. The lateralization is about the ab

Transation is attached:
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1	SAUSANIAN NE DELLA AMBRICA				
	NUSHUB NI INIII ITTOPET I C			Application Number	10/726,130
	INFORMATION DISC	LOS	URE	Filing Date	12/1/2003
	STATEMENT BY API	PLIC	ANT	First Named Inventor	Sun et al.
				Art Unit	2873
	(Use as many sheets as nec	ssary)		Examiner Name	
Sheet	1	of	1	Attorney Docket Number	3084.017

	U.S. PATENT DOCUMENTS							
F		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where			
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (# known)</sup>	MIM-OD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
40		US appl no. 10/814,598	03-30-2004	Chaves, et al				
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
4		International Search Report. PCT/US03/32076. 04-20-2004.	
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Date Examiner 3-2095

Signature

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